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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/925,720

Filing Date August 8, 2001

First Named Inventor Vincent GIGUERE

Group Art Unit 1645

Examiner Name To Be Assigned

Attorney Docket No. 514012000200

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Total Number Of Pages In This Submission

13

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declarations

☐ Extension of Time Request

☐ Express Abandonment Request

☐ Supplemental Information Disclosure Statement - 3 pages

☐ Certified Copy of Priority Document(s)

☐ Response to Missing Parts/  
Incomplete Application

☐ Response to Missing Parts  
under 37 CFR 1.52 or 1.53

☐ Assignment Papers  
(for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a  
Provisional Application

☐ Power of Attorney, Revocation  
Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication to  
Group

☐ Appeal Communication to Board of  
Appeals and Interferences

☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify  
below):

- Request for Corrected Filing Receipt - 2 pages
- Copy of Marked Up Filing Receipt - 2 pages
- Copy of Declaration - 6 pages total
- Copy of Supplemental Application Data Sheet - 2 pages
- Return receipt postcard

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm  
or

Morrison & Foerster LLP, 755 Page Mill Road, Palo Alto, California 94304

Individual Name

Gladys H. Monroy (32,430)

Signature

*Gladys H. Monroy*

Date

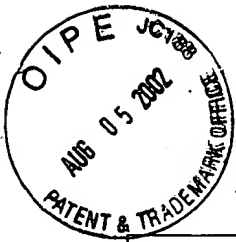
July 30, 2002

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PATENT  
Docket No. 514012000200

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*Denise Lade*

Denise Lade

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Vincent GIGUERE et al.

Application No.: 09/925,720

Filing Date: August 8, 2001

For: NON-HUMAN TRANSGENIC ANIMAL  
WHOSE GERM CELLS AND SOMATIC  
CELLS CONTAIN A KNOCKOUT  
MUTATION IN DNA ENCODING  
ORPHAN NUCLEAR RECEPTOR  
ERRalpha

Examiner: To Be Assigned

Group Art Unit: 1645

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Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Please furnish the undersigned with a corrected Filing Receipt for the above-identified application. The corrections needed are listed below.

Please delete "(\*) Data provided by applicant is not consistent with PTO records."

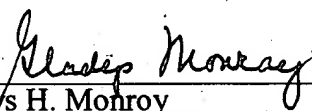
A copy of the marked-up Filing Receipt is attached. Also attached is a copy of the Supplemental Application Data Sheet and Declaration submitted September 19, 2001 and April 2, 2002, respectively.

In the unlikely event that the Patent Office determines that a fee is required, applicant petitions for any required relief including extensions of time and authorizes the Assistant Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 and reference 514012000200. However, the Assistant Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: July 30, 2002

By:

  
Gladys H. Monroy  
Registration No. 46,631

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/925,720	08/08/2001	1645	690	514012000200	11	39	5

CONFIRMATION NO. 4807

UPDATED FILING RECEIPT



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Date Mailed: 06/12/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

Vincent Giguere, Outremont, CANADA;  
Robert Sladek, Montreal, CANADA;  
Jiang-Ming Luo, Marshfield, WI;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/119,024 02/08/1999 \*

~~(\*)Data provided by applicant is not consistent with PTO records.~~

## Foreign Applications

PCT/CA00/00145 02/08/2000

If Required, Foreign Filing License Granted 10/01/2001

Projected Publication Date: 09/19/2002

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Non-human transgenic animal whose germ cells and somatic cells contain a knockout mutation in

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DNA encoding orphan nuclear receptor ERRalpha

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Title 37, Code of Federal Regulations, 5.11 & 5.15**

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